Open Access guidelines

V2.0 September 2022

EU3D
Differentiation
Dominance
Democracy

1. Definitions

Open Access (OA)

Online access to peer-reviewed scientific publications at no charge for the user.

Peer-reviewed scientific publications

'Peer-reviewed publications' are defined as **journal articles**. The category does not encompass other types of academic publications, including monographs, books, conference proceedings, and grey literature (informally published written material not controlled by scientific publishers) (*Annotated Model Grant Agreement*).

Gold, Hybrid and Green OA

Green	Self-archiving in a scientific repository
Hybrid	Publication in a subscription-based journal is made available OA upon payment of an article-processing fee (APF) by the author
Gold	Publication in an Open Access journal upon payment of an article-processing fee (APF) by the author

Embargo

A period after publication defined by the publisher when it is not allowed to make an article publicly available (green OA). For most scientific journals targeted by EU3D researchers the embargo period is 6-24 months.

However, note that the EU3D's Grant Agreement specifies that journal articles must be made available open access within 12 months of publication.

Article Processing Fee (APF) / Article Processing Charge (APC)

A fee which is sometimes charged to authors to make a work available open access in either an open access journal or hybrid journal. This fee may be paid by the author, the author's institution, or the research funder.

2. Obligations

Researchers are obliged to give open access to EU3D research published in journal articles, while strongly encouraged to give open access to other publications.

All the research that is funded by the EU3D grant (also only in part) and which includes acknowledgement of EU funding is covered by this obligation.

EU3D's Grant Agreement Article 29.2 reads:

'Each beneficiary must ensure open access (free of charge online access for any user) to all peer-reviewed scientific publications relating to its results.

In particular, it must:

(a) as soon as possible and at the latest on publication, deposit a machine-readable electronic copy of the published version or final peer-reviewed manuscript accepted for publication in **a repository for scientific publications**;

Moreover, the beneficiary must aim to deposit at the same time the research data needed to validate the results presented in the deposited scientific publications.

(b) ensure open access to the deposited publication — via the repository — at the latest:

(i) on publication, if an electronic version is available for free via the publisher, or

(ii) within six months of publication (**twelve months** for publications in the social sciences and humanities) in any other case.

(c) ensure open access — via the repository — to the bibliographic metadata that identify the deposited publication.

The **bibliographic metadata** must be in a standard format and must include all of the following:

- the terms "European Union (EU)" and "Horizon 2020";
- the name of the action, acronym and grant number;
- the publication date, and length of embargo period if applicable, and
- a persistent identifier.'

3. EU3D guidelines for scientific articles

3.1 Article versions

➢ **Check which version of the article the journal allows you to archive**

a) **Preprint**: Original manuscript (submitted version)
b) **Postprint**: Accepted manuscript (peer-reviewed and edited)
c) **Published**: Version of record (copy-edited, final version and layout)

Note that open access to the preprint version (usually free of charge) is *not* in line with the EU's OA requirements, as it is not yet *peer-reviewed*. Only postprint (**green**) and published (**hybrid**) versions are accepted.

➢ **Check if the journal has an embargo period for self-archiving**

If the embargo is more than 12 months for the postprint or published version, you will have to pay to make the publication available open access (or select another journal with a shorter embargo period).

3.2 Green Open Access

EU3D's general principle is that **Green Open Access** is granted to all project publications, that is, self-archiving of postprint articles in the local repositories of the partner institutions:

Bratislava	*None*	
Copenhagen	CURIS (Copenhagen University Research Information System)	https://research.ku.dk/search/
Grenoble	HAL-SHS (Sciences de l'Homme et de la Société)	https://halshs.archives-ouvertes.fr/
Konstanz	KOPS (Konstanzer Online-Publikations-System)	http://kops.uni-konstanz.de/
Krakow	Jagiellonian University Repository	ruj.uj.edu.pl/xmlui
Oxford	The Oxford Research Archive (ORA)	ora.ox.ac.uk/
Oslo	DUO research archive	www.duo.uio.no
Rome	IRIS (Catalogo dei prodotti della ricerca scientifica LUISS)	iris.luiss.it/

Alternatively, authors may archive publications in a disciplinary repository, as long as it is a certified archive for scientific publications. You can search for Open Access repositories through OpenDOAR: https://v2.sherpa.ac.uk/opendoar/

For the social sciences, you could use the Social Science Open Access Repository – SSOAR: https://www.gesis.org/en/ssoar/home

3.3 Hybrid Open Access

Some traditional subscription-based journals allow the published version in its final layout to be opened upon payment of an APF. For EU3D this will be required if the embargo for self-archiving a postprint version is longer than 12 months.

Note however that some of EU3D's partner institutions are parties in national/local agreements between associations of universities and publishers. Such agreements may allow the version of record to be published Open Access *for free or at a discount* – if (one of) the author(s) is affiliated with the institution. Therefore, it is crucial that you look for institutional agreements through the university library or other support.

Example:

- The Norwegian Directorate for ICT and Joint Services in Higher Education and Research (UNIT) has signed 'read and publish' agreements with Taylor & Francis and Wiley, whereby first authors/corresponding authors associated with the University of Oslo can publish peer-reviewed research articles openly in their pure Open Access journals and subscription journals (hybrid). The publication cost is covered by the UiO through the agreements.

➢ **Check the agreements available at your home institution!**

3.4 Gold Open Access

Some recent initiatives have resulted in the creation of Gold OA journals that EU3D researchers could target for their work. The perhaps most relevant journals are:

- *Political Research Exchange* (PRX): https://tandfonline.com/toc/prxx20/current

  NB. APFs are capped to GBP 100 for authors at ECPR member institutions

- *Politics and Governance* (Cogitatio): https://www.cogitatiopress.com/politicsandgovernance

  The University of Oslo covers the APF of all articles authored by corresponding authors of the institution, other partners may have similar agreements

- Overview of UiO Open Access agreements: https://www.ub.uio.no/english/writing-publishing/open-access/deals-and-discounts/index.html

➢ **Check if your home institution has an agreement that waivers APFs**

4. Announcement of publications

All publications by EU3D researchers are announced on the project website www.eu3d.uio.no, with title, abstract, author and *link to a full-text version* of the article.

To sum up, the link can be to either of the following:

(3.1) A postprint version of the article in an institutional repository (green)

(3.2) The article in the subscription-based journal you have paid to open (hybrid)

(3.3) An article published in an Open Access journal (gold)

- **Notify the project manager of any new publication, including a link to the full-text version for announcement on EU3D's website.**

5. Open Access funds

EU3D has a limited budget to pay OA fees (hybrid/gold options) that covers approx. three articles. The articles should represent key project findings and will be selected by the Executive Board. Authors or work package coordinators are asked to notify the EU3D coordinator if they would like an article to be considered.

Annex: Embargo periods and costs of relevant scientific journals

Taylor & Francis (Routledge)

- Journal of European Public Policy
- Journal of European Integration
- West European Politics
- Perspectives on European Politics and Society

Embargo: 18 months (postprint)
Hybrid option: *Open Select* (€2,150) | *UiO employees: Unlimited publication at no cost, applying to articles accepted within the agreement period (ends 31 Dec 2022)*

Wiley

- Journal of Common Market Studies 3200 euro
- European Law Journal
- European Journal of Political Research
- Governance
- Public Administration

Embargo: 24 months (postprint)
Hybrid option: *Online Open* (€2,600-3,100) **|** *UiO employees: Hybrid option at no cost*

Sage

- European Union Politics
- Public Policy and Administration
- European Journal of Social Theory

No embargo (postprint version = "Accepted Manuscript")
Hybrid option: *SAGE Choice* (£ 2,385) | *UiO employees: unlimited publication at no cost, agreement ends 2022. Read more: https://uk.sagepub.com/en-gb/eur/open-access-agreements-at-sage/norway*

Springer/Palgrave Macmillan

- Comparative European Politics

Embargo: 12 months (postprint)
Hybrid option (USD 2,600) | *UiO employees: Hybrid option at no cost, agreement ends 2022*

Cambridge University Press

- European Political Science Review

No embargo (postprint version = "Accepted Manuscript"). Read more: https://www.cambridge.org/core/services/open-access-policies/open-access-journals/green-open-access-policy-for-journals
Hybrid option (USD 3,255)